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TRANSMITTAL FORM

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Total Number of Pages in This Submission

6

Application Number	10/691,915
Filing Date	10/23/2003
First Named Inventor	Anil Gulati
Art Unit	1642
Examiner Name	Fetterolf, Brandon J.
Attorney Docket Number	48361-00067 (formerly 27611/38A)

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. Copy of Notice of Recordation of Assignment; and
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	3. Postage paid postcard.
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	Remarks	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Preston Gates & Ellis LLP		
Signature			
Printed name	Chad J. Wiegand, Pharm. D.		
Date	9/28/05	Reg. No.	52,360

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Typed or printed name	Maria Nadal	Date	9/28/05

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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/691,915
Filing Date	10/23/2003
First Named Inventor	Anil Gulati
Title	Method and Composition for Preventing and Treating Solid Tumors
Art Unit	1642
Examiner Name	Fetterolf, Brandon J.
Attorney Docket Number	48361-00067 (formerly 27611/38A)

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

45,200

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Stephen K. Rugg</i>	Date	9/8/05
Name	STEPHEN K. RUGG	Telephone	
Title and Company	COMPTROLLER		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 2 forms are submitted.

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CV94/NPA



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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Anil Gulati

Application No./Patent No.: 10/691,915 Filed/Issue Date: 10/23/2003

Entitled: Method and Composition for Preventing and Treating Solid Tumors

The Board of Trustees of the University of Illinois, a University
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013772, Frame 0136, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Stephen K. Rugg
Signature

9/8/05
Date

STEPHEN K. RUGG
COMPTROLLER Printed or Typed Name

Telephone Number

Title

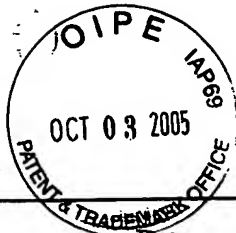
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CV94/109A



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PATENT AND
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JULY 02, 2003

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JUL 08 2003

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Under Secretary of Commerce For Intellectual Property and
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RECORDATION DATE: 02/19/2003

REEL/FRAME: 013772/0136
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
GULATI, ANIL

DOC DATE: 01/30/2003

ASSIGNEE:
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SERIAL NUMBER: 60420960
PATENT NUMBER:

FILING DATE: 10/24/2002
ISSUE DATE:

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS